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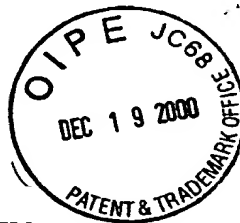
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PATENT, TRADEMARK AND COPYRIGHT LAW  
AND RELATED FEDERAL AND ITC LITIGATION

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Docket No.: 195378US0DIV



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RE: Application Serial 09/675,208

Applicants: Chieko OSUMI et al

Filing Date: September 29, 2000

For: RAFFINOSE SYNTHASE GENE, METHOD OF PRODUCING  
RAFFINOSE, AND TRANSGENIC PLANT

SIR:

Attached hereto for filing are the following papers:

- Notice to Comply
- Letter w/Date-Stamped Filing Receipt; Preliminary Amendment; Sequence Listing filed September 29, 2000 attached

Our check in the amount of \_\_\_\_\_ is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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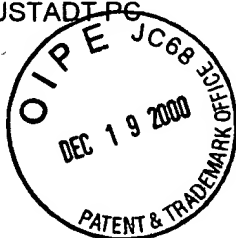
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/675,208	09/29/2000	Chieko Osumi	195378USODIV

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## FORMALITIES LETTER



\*OC000000005590995\*

Date Mailed: 12/01/2000

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
  - This application clearly fails to comply with the requirements of 37 CFR 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- - For Technical Assistance, call (703) 287-0200
- - To Purchase PatentIn Software, call (703) 306-2600

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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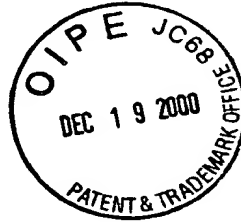
IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Chieko OSUMI et al

SERIAL NO: 09/675,208

FILED: September 29, 2000



FOR: RAFFINOSE SYNTHASE GENE, METHOD  
OF PRODUCING RAFFINOSE, AND TRANSGENIC  
PLANT

LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Responsive to the Notice to Comply dated December 1, 2000, Applicants submit that a Sequence Listing was filed with the present Application on September 29, 2000. The present application is a Divisional of U.S. Serial No. 08/846,234, filed April 28, 1997. Thus, in accordance with 37 C.F.R. §1.821(e), Applicants have requested the Office to use the computer readable Sequence Listing filed in the Parent Application on November 15, 1999. As evidence of the submission, Applicants provide a copy of the Preliminary Amendment, the Sequence Listing and the date-stamped filing receipt. Accordingly, Applicants believe no new submissions are required. An action on the merits and allowance of the claims is solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

A handwritten signature in black ink, appearing to read "Norman F. Oblon".

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Dept.: Chemical

By: NFO:JK:jot

OSMM&N File N . 195378US0DIV

Serial No. New Application

In the matter of the Application of: Chieko OSUMI et al.

For: RAFFINOSE SYNTHASE GENE, METHOD OF PRODUCING  
RAFFINOSE, AND TRANSGENIC PLANT

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ 41 pp. Specification & 12 Claims/Drawings 7 Sheets
- ☒ Copy of Combined Declaration, Petition & Power of Attorney 3 pages
- ☐ List of Inventor Names and Addresses
- ☒ Utility Patent Application
- ☐ CPA
- ☒ Notice of Priority
- ☐ Priority Doc
- ☒ Check for \$1,074.00
- ☐ Dep. Acct. Order Form
- ☒ Fee Transmittal Form
- ☐ Assignment/PTO 1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☒ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Cited References
- ☐ Search Report
- ☐ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☒ Sequence Listing
- ☐ Issue Fee Transmittal
- ☒ White Advance Serial Number Card
- ☒ Verification of Translation / spec 83 and 12 claims / drawings 7 sheets filed in prior U.S. Application Serial No. 08/864,234, filed on April 28, 1997
- ☒ Copy of Certified Statement Re Filing in Foreign Language

Due Date: N/A

